



| Field                | Value  | Approved at              |
|----------------------|--|--------------------------|
| First name           | Billy  | Jul 03, 2021<br>11:36 AM |
| Last name            | Duke   | Mar 18, 2019<br>2:25 PM  |
| Email                | billy@dukefinancialsolutions.com   | Mar 18, 2019<br>2:25 PM  |
| Company name         | Duke Financial Solutions LLC   | Mar 18, 2019<br>2:25 PM  |
| City                 | McDonough  | Mar 18, 2019<br>2:25 PM  |
| State                | GA   | Mar 18, 2019<br>2:25 PM  |
| Zip                  | 30252  | Jul 03, 2021<br>11:36 AM |
| Phone                | (678) 829-1415   | Jul 03, 2021<br>11:36 AM |
| Street address       | 255 Racetrack Road   | Mar 18, 2019<br>2:25 PM  |
| Broker dealer        | Cetera Advisors (CA)   | Mar 18, 2019<br>2:25 PM  |
| Title                |  | Jul 03, 2021<br>11:36 AM |
| Disclosure statement | Investment Advisor Representative: Securities and Advisory Services offered through Cetera Advisors LLC, member FINRA/SIPC, a broker/dealer and a Registered Investment Adviser. Cetera is under separate ownership from any other entity. | Mar 18, 2019<br>2:25 PM  |
| Url                  | <a href="https://www.dukefinancialsolutions.com/">https://www.dukefinancialsolutions.com/</a>  | Mar 18, 2019<br>2:25 PM  |
| Photo                |   | Mar 18, 2019<br>2:25 PM  |

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|-------|--|-------------------------|
| Logo  |  The logo for Duke Financial Solutions features a stylized tree with blue and gold leaves above the word "DUKE" in a large, blue, serif font. Below "DUKE" is the text "FINANCIAL SOLUTIONS" in a smaller, blue, sans-serif font, all contained within a white rectangular box. | Mar 18, 2019<br>2:25 PM |